

# SPAE

## A Single Pass Authenticated Encryption scheme

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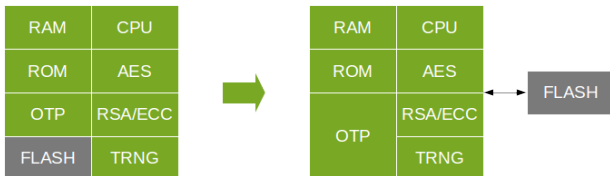
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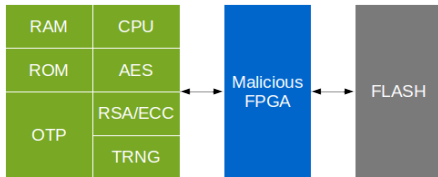
# Secure IC with external flash memory

- Typical secure element/smart card: internal flash memory (everything on single chip)
- Our goals:
  - Use external flash memory
  - Achieve same security level



# What could go wrong ?

- On the fly traffic analysis
- Replay attacks

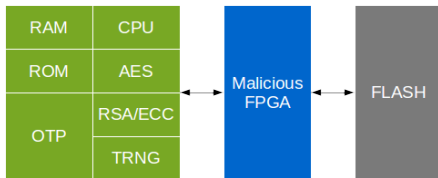


Clear need for:

- Confidentiality
- Authenticity
- Freshness

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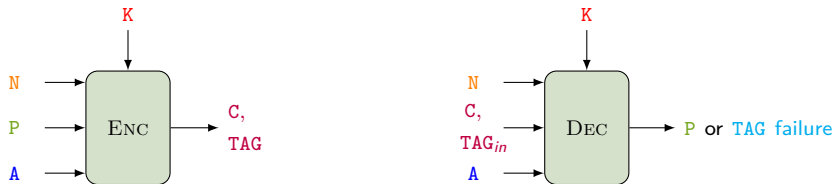
Clear need for:

- Confidentiality
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⇒ We need an Authenticated Encryption scheme.

# Authenticated Encryption (AE or AEAD)

Symmetric encrypt-sign and decrypt-verify in a single algorithm



Our use case:

- NONCE N generated and stored inside the secure element
- Cipher-text C and TAG stored outside

# Requirements of our scheme

Optimization goals:

- Silicon area,
- Performance, energy efficiency (small message size),
- Development effort.

In the context of a secure element/smart card, this means:

- Use AES (market constraint),
- Use simple linear operators (XOR, rotate...),
- Fast in single thread  $\Rightarrow$  Single Pass,
- Prevent DFA attacks at algorithm level.

# Existing AE schemes

- 2 Passes:
  - AES-GCM[MV04]
  - AES-CCM [Dwo04]
  - COLM [ABD<sup>+</sup>15]<sup>1</sup>
  - SIV [RS07]
- Not using AES:
  - NORX [AJN14]
  - ASCON [DEMS16]
  - CHACHA20-POLY1305 [Ber08], [Ber05], RFC7539
- Ideal but patented:
  - OCB[RBB03]

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<sup>1</sup>Final portfolio members of CAESAR [Ber14] in green

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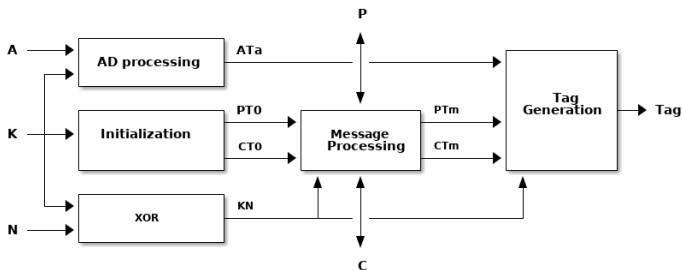
⇒ We need a new AE scheme.

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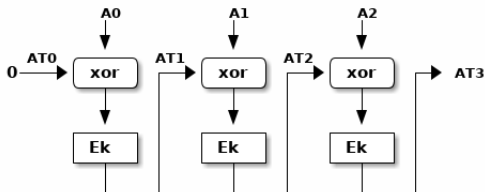
## SPAE overview



$a$ : number of AD blocks  
 $m$ : number of message blocks  
 $AT_a$ : tag over AD

$KN$ : key derived from  $K$  and  $N$   
 $PT_0, CT_0$ : initialization values  
 $PT_m, CT_m$ : message tag values

## SPAE Associated Data processing

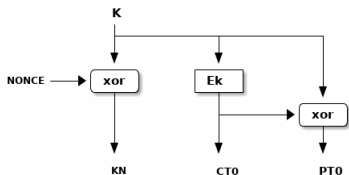


$E_k$ : block cipher call with key  $K$ , for example AES-128.

### Equations

$$AT_0 = 0 \quad AT_{i+1} = E_K(AT_i \oplus A_i) \quad A_i \text{ are blocks of associated data}$$

# SPAE Initialization and key derivation



## Equations

$$KN = \text{NONCE} \oplus K$$

$$CT_0 = E_K(K)$$

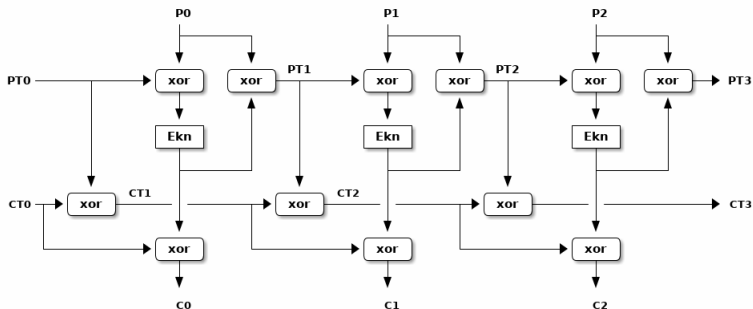
$$PT_0 = K \oplus CT_0$$

$PT_0$  and  $CT_0$  can be precomputed.

## Design Rationale

We choose those values to be strongly linked with the key since their secrecy is crucial to the security of the scheme.

# SPAE message processing



## Equations

$$C_i = E_{KN}(PT_i \oplus P_i) \oplus CT_i$$

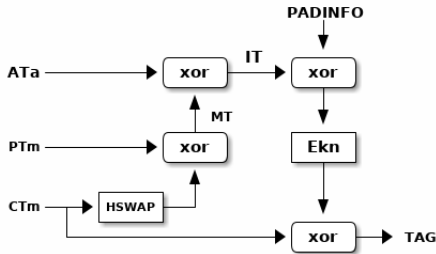
$$PT_{i+1} = E_{KN}(PT_i \oplus P_i) \oplus P_i$$

$$CT_{i+1} = PT_i \oplus CT_i$$

## Reminders

$KN = K \oplus \text{NONCE}$   
 $P_i(C_i)$  are blocks of plain(cipher)-text.  
 We aim to instantiate *AES* for  $E$ .

# SPAE TAG generation for $m > 0$



## Equations

$$MT = HSWAP(CT_m) \oplus PT_m \quad IT = AT_a \oplus MT$$

$$TAG = E_{KN}(IT \oplus PADINFO) \oplus CT_m$$

# Security of the scheme

## Setting of the attacker

The attacker is able to ask the encryption of any triple  $(N^i, A^i, M^i)$  but can ask only once an encryption with a same nonce  $N$ .

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## Proposition

The attacker is not able to get a pair of values  $(X, E_{KN}(X))$  with some constant block  $X$ .

**Idea of the proof:** We look at all the relations between the variables and the reuse of outputs.

## Rationale Design

We choose to have two distincts internal variables to protect the knowledge of pairs of values  $(X, E_{KN}(X))$ .

# Differential analysis

## Proposition

The resilience of the scheme to differential attacks is as strong as the one of the encryption function  $E_K$  (which we aim to be *AES*).

**Idea of the proof:** To estimate the security, we upper bound the maximum probability of differential pairs  $(\delta X, \delta Y)$  we could get with the differential pair of the encryption function  $E_K$ .



# Differential Fault Analysis

The design of the scheme has been made with the aim to minimize the necessity to protect the use of  $E_K$ .

- For encryption and decryption we need only to protect the production of the TAG.

## Design Rationale

- Using a key  $KN = K \oplus \text{NONCE}$  dependant of the NONCE is a benefical choice against DFA.
- Using *HSWAP* was motivated by DFA to avoid cancellation of non symmetrical faults in decryption.

# Privacy of the scheme

## Proposition

If the the adversary, "respecting the rules", asks  $q$  queries  $(N, A^i, M^i)$  that entails  $\sigma_n$  blockcipher calls of  $E_{KN}$  then

$$\text{Adv}_{\Pi}^{\text{priv}} \leq \frac{1.5\sigma_n(\sigma_n - 1)}{2^{\text{blocksize}}}.$$

For example with AES  $\text{blocksize} = 128$ .

**Idea of the proof:** We use a game playing argument measuring the distance to a perfect blockcipher (see lemma 3 of Krovetz and Rogaway [KR11] for details).

# Authenticity of the scheme

## Proposition

If the adversary asks  $q$  queries that entails  $\sigma$  blockcipher calls then

$$\text{Adv}_{\Pi}^{\text{auth}} \leq \frac{1}{\Gamma}$$

with  $\Gamma$  the size of the codomain of the function  $(x) \mapsto x \oplus E_K(x)$ .

**Idea of the proof** We make a strong supposition for the attacker and we conclude by the fact that the attacker does not know any couple of values  $X, E_K(X)$ .

## Benchmark: ARM-Cortex-M4

- AES implementations:
  - MMCAU: Flexible cryptographic accelerator,
  - FAST: Software AES optimized for speed (use 8 Kbytes Tbox LUT),
  - SMALL: Software AES optimized for size (use 256 bytes Sbox LUT).

**Table:** MbedTLS benchmark<sup>2</sup> on FRDM-K64F board, 1024 bytes messages

Algorithm	AES implementation	Kbytes/s	cycles/byte
AES-SPAE-128	MMCAU	3101	37.8
AES-SPAE-128	FAST	1141	102.9
AES-SPAE-128	SMALL	546	215.1
AES-GCM-128	FAST	401	293.0
AES-CCM-128	FAST	476	246.8

<sup>2</sup>Benchmarking code taken from <https://github.com/wolfeidau/mbedtls>

## Benchmark: ARM-Cortex-M0

STM32L011K4 is a low end device:

- no hardware AES,
- only 16KB FLASH, 2KB RAM.

Table: Benchmark on STM32L011 Nucleo board

	clock cycles	cycles/byte
SPAE	18.2K	1140
CCM	42.0K	2627
OCB	43.0K	2689
GCM	65.6K	4100

Scenario: encrypt and authenticate a 16 bytes message  
CCM,OCB and GCM implementations from CIFRA library<sup>3</sup>

<sup>3</sup><https://github.com/ctz/cifra>

## Conclusion

SPAE is a new AE algorithm:

- Single pass,
- Use only a block cipher and XOR,
- With AES, it is faster than AES-GCM and AES-CCM<sup>4</sup>,
- Not patented,
- Some security bounds,
- Some algorithmic level fault attack protections,
- Python and C code available at <https://github.com/TiempoSecure/SPAE>.

Further work:

- Adaptation to AES-256 (only about KN).
- Practical evaluation of fault attacks<sup>5</sup>.

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<sup>4</sup>On typical low end MCUs where parallelization is not possible

<sup>5</sup>Feel free to ask us for a STM32 nucleo board to challenge our claims

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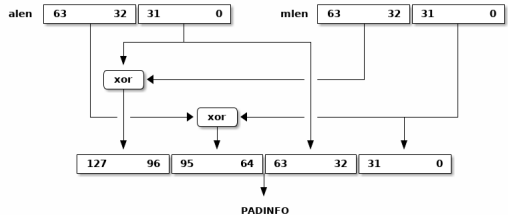
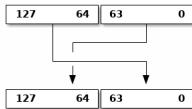
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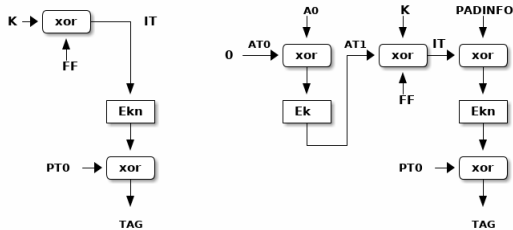
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# Computation of PADINFO

HSWAP



# SPAE tag generation for $m=0$



$$TAG_{null} = PT_0 \oplus E_{KN}(K \oplus FF) = K \oplus E_K(K) \oplus E_{KN}(K \oplus FF)$$

FF in the formulae prevents  $TAG = K$  for  $NONCE = 0$